

The Conduit Connect Limited
PRIVACY NOTICE

ABOUT THIS NOTICE

The Conduit Connect Limited (“**Conduit Connect**”) is committed to protecting the privacy and security of your personal information. This privacy notice describes how we collect and use personal information about you in accordance with data protection law. Please read it carefully.

Data protection law says that the personal information we hold about you must be:

- Used lawfully, fairly and in a transparent way.
- Collected only for valid purposes that we have clearly explained to you and not used in any way that is incompatible with those purposes.
- Relevant to the purposes we have told you about and limited only to those purposes.
- Accurate and kept up to date.
- Kept only as long as necessary for the purposes we have told you about.
- Kept securely

1 INFORMATION ABOUT US

1.1 We are The Conduit Connect Limited. Our registered office is at 11 New Burlington Place, London W1A 2HX and our registered company number is 11511437.

1.2 If you have any questions, our contact details are:

1.2.1 11 New Burlington Place, London W1A 2HX

1.2.2 info@theconduitconnect.com

2 PLATFORM AND SERVICE INFORMATION AND OTHER CORRESPONDENCE

2.1 **If you are an individual, when you register for our Conduit Connect Platform** (“the **Platform**”) there will be personal information about you relating to your use of the Platform such as your name, title, contact details, account information (including your username), investment preferences, and correspondence with us about the Platform.

2.2 **If you are an individual, we need certain information to provide you with access to the Platform.** If you do not provide this information, we may not be able to provide you with access to the Platform. Mandatory information fields are generally set out when you are entering registering for the Platform, but in particular, you must provide the following information:

2.2.1 Your name and contact details.

2.2.2 Your account information.

2.2.3 Your investment preferences.

- 2.3 **If you are using our Platform as an investor, we or our third party service providers need to collect certain information pursuant to our legal and regulatory obligations.** Please see paragraph 13.2 below for further information.
- 2.4 **If you contact our dedicated introductions team or take part in our Showcase Events, we need certain information to provide you with these services.** This information includes your name and contact details. If you do not provide this information, we may not be able to provide you with these services.
- 2.5 **Other correspondence or interaction** (for example by email, telephone or via our website) between you and us, will include personal information (such as names and contact details) in that correspondence. This may include enquiries, reviews, follow-up comments or complaints lodged by or against you and disputes with you or your organisation.
- 2.6 **Call information.** We may also collect details of phone numbers used to call our organisation and the date, time and duration of any calls. Please note that if we record your calls to or from us, we will inform you of this.
- 2.7 We will keep and use that information to carry make the Platform available to you (if applicable), to comply with any legal requirements for us to maintain certain records or carry out certain verifications, and/or for our legitimate interests in dealing with a complaint or enquiry and administering your (or your organisation's) account or order and any services we offer, as well as to review and improve our offerings, including troubleshooting, data analysis, testing, research, statistical and survey purposes.
- 2.8 **Where your information relates to your use of the Platform,** it is kept for a period of up to 5 years after the date that your account is closed to enable us to deal with any after sales enquiries or claims and as required for tax purposes.
- 2.9 **Any other information set out above** is kept for up to 7 years.

3 COMMUNICATIONS

- 3.1 We may collect your name and contact details (such as your email address, phone number or address) in order to send you information about our products and services which you might be interested in.
- 3.2 **You always have the right to “opt out” of receiving our marketing.** You can exercise the right at any time by contacting us at info@theconduitconnect.com. If we send you any marketing emails, we will always provide an unsubscribe option to allow you to opt out of any further marketing emails. If you “opt-out” of our marketing materials, you will be added to our suppression list to ensure we do not accidentally send you further marketing.
- 3.3 **If you are an existing user of the Platform or are acting in a professional capacity as part of a company or LLP,** we use your contact details as necessary for our legitimate interests in marketing to you.
- 3.4 **If you are not an existing user of the Platform and are not acting in a professional capacity as part of a company or LLP,** we will only contact you for marketing purposes with your consent (whether we have collected your details directly from you, or through a third party).
- 3.5 **We never share your name or contact details with third parties for marketing purposes unless we have your “opt-in” consent to share your details with a specific third party for them to send you marketing.** We may use third party service providers to

send out our marketing, but we only allow them to use that information on our instructions and where they have agreed to treat the information confidentially and to keep it secure.

3.6 **We retain your details on our marketing list until you “opt-out” at which point we add you to our suppression list.** We keep that suppression list indefinitely to comply with our legal obligations to ensure we don’t accidentally send you any more marketing.

3.7 When we send marketing emails to you, we may use “web beacons” to collect information about when you open the email, your IP address and browser or email client type, and other similar information. We do this as necessary for our legitimate interests in reviewing and considering our direct marketing activities. We keep this information for up to 90 days.

4 WEBSITE AND PLATFORM INFORMATION

4.1 **We may collect information about you and your use of our website** via technical means such as cookies, webpage counters and other analytics tools. This may include your IP address, login data, browser type and version, time zone setting and location, browser plug-in types and versions, operating system and platform and other technology on the devices you use to access this website. We use this as necessary for our legitimate interests in administering and improving our website and its content, to ensure it operates effectively and securely, and to develop our business and inform our marketing strategy. We may also create aggregate statistical data from that information (for instance, overall numbers of website visitors) which is not personal information about you.

4.2 For detailed information on the cookies we use on our Platform and the purposes for which we use them see our Cookie Notice below.

4.3 Our website may, from time to time, contain links to third party websites, plug-ins and applications. Clicking on those links or enabling those connections may allow third parties to collect or share data about you. We do not control these third party websites and are not responsible for their privacy statements. When you leave our website, we encourage you to read the privacy notice of every website you visit.

5 CONTENT AND PROMOTIONAL MATERIAL

5.1 This is information about you which you provide to us (whether through our website or otherwise), or images or recordings of you which you allow us (or someone on our behalf) to take, for publication or display. This may include reviews, comments, testimonials, photographs and videos.

5.2 We may display and publish this content (and, if relevant, attribute it to you) on our platforms as necessary for our legitimate interests in providing content and for promotional purposes (or, in some circumstances, because you have specifically consented to us doing this). This information is kept and published or displayed by us for as long as we consider it relevant for those purposes. You can ask us to remove or delete your content at any time (subject to any agreement you have with us about our right to use it) by contacting us. If we are displaying or publishing the information based on your consent, you have the right to withdraw that consent at any time.

6 EMPLOYEE INFORMATION

6.1 If you work for one of our users, entrepreneurs, suppliers or business partners, the information we collect about you may include your contact information, details of your employment and our relationship with you. This information may be collected directly from you, or provided by your organisation. Your organisation should have informed you that

your information would be provided to us, and directed you to this policy. We use this as necessary for our legitimate interests in managing our relationship with your organisation and to assess your organisation's suitability for the use of our Platform. If we have a business relationship with you or your organisation, we may receive information about you from your organisation.

- 6.2 We keep this information for up to seven years after the end of our relationship with your organisation.

7 INFORMATION COLLECTED AT OUR PREMISES

7.1 **Visitor information.** We collect information about visitors to our premises. We may record information on your visit, including the date and time, who you are visiting, your name, employer, contact details and vehicle registration number. If you have an accident at our premises, this may include an account of your accident.

7.2 Visitor information is kept for a period of up to 7 years. If you have an accident on our premises, our accident records are retained for a period of up to 21 years

8 JOB APPLICATIONS

8.1 We will collect and hold information on job applicants, including information you provide to us in your application, or provided to us by recruitment agencies, as well as information on you from any referees you provide. We may also collect information about your professional history which you make available on LinkedIn, or which are on your employer's website.

8.2 We use this as necessary to enter into an employment contract with you, and for our legitimate interests in evaluating candidates and recording our recruitment activities, and as necessary to exercise and perform our employment law obligations and rights. Where you voluntarily provide us with special categories of data, such as information about your race, health or sexuality, we will store this as part of your application on the basis that you have decided to make it public to us for this purpose, and to ensure that our record of your application is accurate so we can comply with (and demonstrate our compliance with) our obligations under employment law.

8.3 If you are successful in your application, your information will be used and kept in accordance with our internal privacy notice. If you currently work for us, or used to work for us, you can request a copy of this from us. If you are not successful in your application, your information will be held for up to 6 months after the relevant round of recruitment has finished.

8.4 You must provide certain information (such as your name, contact details, professional and educational history) for us to consider your application fully. If you have not provided all of this information, we may contact you to ask for it. If you do not wish to provide this information, we may not be able to properly consider your application.

8.5 If you are listed as a referee by an applicant, we will hold your name, contact details, professional information about you (such as your employer and job title) and details of your relationship with the applicant. We will use this information as necessary for our legitimate interests in evaluating candidates and as necessary to exercise and perform our employment law obligations and rights. Your information will be kept alongside the applicant's information.

8.6 If you are listed as an emergency contact by someone who works for us, we will hold your name, contact details and details of your relationship with that worker. We will use this to

contact you as necessary to carry out our obligations under employment law, to protect the vital interests of that worker, and for our legitimate interests in administering our relationship with that worker. Your information will be kept until it is updated by that worker, or we no longer need to contact that worker after they have stopped working for us.

9 LEGAL CLAIMS

9.1 Where we consider there to be a risk that we may need to defend or bring legal claims, we may retain your personal information as necessary for our legitimate interests in ensuring that we can properly bring or defend legal claims. We may also need to share this information with our insurers or legal advisers. How long we keep this information for will depend on the nature of the claim and how long we consider there to be a risk that we will need to defend or bring a claim.

10 INFORMATION WE RECEIVE FROM THIRD PARTIES

10.1 We may also receive information about you from the following sources:

10.1.1 **Our service providers.** We work closely with third parties (including, for example, business partners, sub-contractors in technical services, advertising networks, analytics providers and search information providers) who may provide us with information about you, to be used as set out above.

10.1.2 **Businesses we have bought.** If we have acquired another business, or substantially all of its assets, which originally held your information, we will hold and use the information you provided to them, or which they otherwise held about you, in accordance with this privacy notice. If we are reviewing whether to acquire a business, or substantially all of its assets, which holds your personal data (whether you are a customer or employee of that business or otherwise) we may receive limited personal data about you from that business or professional advisors involved in the transaction, as necessary for our legitimate interests in making decisions about that acquisition. If we do not acquire that business, any information we receive about you will be deleted as soon as practicable following the decision not to acquire.

10.1.3 **Our other channels.** This is information we receive about you if you use any of the other websites we operate or the other services or products we provide from time to time. In this case we will have informed you when we collected that data if we intend to share those data internally and combine it with data collected on this website. We will also have told you for what purpose we will share and combine your data.

10.1.4 **Publicly available sources.** We may obtain information from publicly available sources: such as Companies House and LinkedIn. We collect this information to assess your suitability for the use of our Platform and services and retain it for up to 7 years from the date of collection.

11 SPECIAL CATEGORIES OF DATA

We do not collect any “special categories” of more sensitive personal information about you (this includes details about your race or ethnicity, religious or philosophical beliefs, sex life, sexual orientation, political opinions, trade union membership, information about your health and genetic and biometric data, as well as information about criminal convictions and offences).

12 WHY ELSE DO WE USE YOUR INFORMATION?

12.1 Common uses of your information. We will only use your personal information when the law allows us to do so. Although in limited circumstances we may use your information because you have specifically consented to it, we generally use your information in the ways set out in this notice because:

12.1.1 we need to perform a contract we have entered into with you.

12.1.2 we need to comply with a legal obligation.

12.1.3 it is necessary for our legitimate interests (or those of a third party) and your interests and rights do not override those interests.

12.1.4 we need to protect your interests (or someone else's interests) or where it is needed in the public interest (although these circumstances are likely to be rare).

12.2 Change of purpose. We will only use your personal information for the purposes for which we collected it as set out in this notice, unless we reasonably consider that we need to use it for another reason and that reason is compatible with the original purpose. If we need to use your personal information for an unrelated purpose, we will notify you and we will explain the legal basis which allows us to do so.

13 SHARING YOUR INFORMATION

As well as any sharing listed above, we may also share your information with third parties, including third-party service providers and other entities in our group. Third parties are required to respect the security of your personal information and to treat it in accordance with the law. We never sell your data to third parties.

13.1 Why might we share your personal information with third parties?

We may share your personal information with third parties if we are under a duty to disclose or share your personal information in order to comply with any legal obligation, or in order to enforce or apply our agreements with you, or to protect the rights, property, or safety of us, our customers, or others or where we have another legitimate interest in doing so. This may include exchanging information with other companies and organisations for the purposes of fraud protection and credit risk reduction.

13.2 Which third-party service providers process your personal information?

13.2.1 We also may need to share your personal information for third-party service providers (including contractors and designated agents) so that they can carry out their services.

13.2.2 The following activities may be carried out by third-party service providers: legal advice, venue services for the organisation and hosting of Showcase Events, IT services including maintenance and support for the Platform, administration, accounting and regulatory engagement pursuant to our role as an Appointed Representative.

13.3 When might we share your personal information with other entities in the group?

We may share your personal information with other entities in our group as part of our regular reporting activities on company performance, in the context of a business

reorganisation or group restructuring exercise, and for system maintenance support and hosting of data.

13.4 **How secure is your information with third-party service providers and other entities in our group?**

All our third-party service providers and other entities in the group are required to take appropriate security measures to protect your personal information. Where third parties process your personal information on our behalf as “data processors” they must do so only on our instructions and where they have agreed to treat the information confidentially and to keep it secure.

13.5 **What about other third parties?**

We may share your personal information with other third parties, for example with potential buyers and professional advisers in the context of the possible sale or restructuring of the business where necessary in connection with the purposes which your information was collected for. We may also need to share your personal information with a regulator or to otherwise comply with the law.

14 WHERE WE STORE YOUR INFORMATION

14.1 Our office headquarters are based at 11 New Burlington Place, London and our main data centre is located in the UK. However, where required to perform our contract with you or for our wider business purposes, the information that we hold about you may be transferred to, and stored at, a destination outside the UK and the EU. It may also be processed by staff operating outside the UK and EU who work for us or for one of our service providers.

14.2 We will take all steps reasonably necessary to ensure that your personal information is treated securely and in accordance with this privacy notice.

14.3 Some countries or organisations outside of the UK and the EU which we may transfer your information to will have an “adequacy decision” in place, meaning the EU considers them to have an adequate data protection regime in place. These are set out on the European Commission website: https://ec.europa.eu/info/law/law-topic/data-protection/data-transfers-outside-eu/adequacy-protection-personal-data-non-eu-countries_en.

14.4 If we transfer data to countries or organisations outside of the UK and the EU which the EU does not consider to have an adequate data protection regime in place, we will ensure that appropriate safeguards (for example, model clauses approved by the EU or a data protection authority) are put in place where required. To obtain more details of these safeguards, please contact us.

15 DATA SECURITY

15.1 As well as the measures set out above in relation to sharing of your information, we have put in place appropriate internal security measures to prevent your personal information from being accidentally lost, used or accessed in an unauthorised way, altered or disclosed. In addition, we limit access to your personal information to those employees, agents, contractors and other third parties who have a business need to know. They will only process your personal information on our instructions and they are subject to a duty of confidentiality.

15.2 We have put in place procedures to deal with any suspected data security breach and will notify you and any applicable regulator of a suspected breach where necessary.

16 HOW LONG WILL WE KEEP YOUR INFORMATION FOR?

- 16.1 We have set out above indications of how long we generally keep your information. In some circumstances, it may be necessary to keep your information for longer than that in order to fulfil the purposes we collected it for, including for the purposes of satisfying any legal, accounting, or reporting requirements.
- 16.2 To determine the appropriate retention period for personal information, we consider the amount, nature, and sensitivity of the personal information, the potential risk of harm from unauthorised use or disclosure of your personal information, the purposes for which we process your personal information and whether we can achieve those purposes through other means, and the applicable legal requirements.
- 16.3 In some circumstances we may anonymise your personal information so that it can no longer be associated with you, in which case we may use such information without further notice to you.

17 YOUR RIGHTS

- 17.1 Data protection law gives you a number of rights when it comes to personal information we hold about you. The key rights are set out below. More information about your rights can be obtained from the Information Commissioner's Office (ICO). Under certain circumstances, by law you have the right to:
- 17.1.1 **Be informed** in a clear, transparent and easily understandable way about how we use your personal information and about your rights. This is why we are providing you with the information in this notice. If you require any further information about how we use your personal information, please let us know.
 - 17.1.2 **Request access** to your personal information (commonly known as a "data subject access request"). This enables you to receive a copy of the personal information we hold about you and to check that we are lawfully processing it.
 - 17.1.3 **Request correction** of the personal information that we hold about you. This enables you to have any incomplete or inaccurate information we hold about you corrected.
 - 17.1.4 **Request erasure** of your personal information. This enables you to ask us to delete or remove personal information where there is no good reason for us continuing to process it (for instance, we may need to continue using your personal data to comply with our legal obligations). You also have the right to ask us to delete or remove your personal information where you have exercised your right to object to processing (see below).
 - 17.1.5 **Object to processing** of your personal information where we are relying on a legitimate interest (or those of a third party) and there is something about your particular situation which makes you want to object to us using your information on this basis and we do not have a compelling legitimate basis for doing so which overrides your rights, interests and freedoms (for instance, we may need it to defend a legal claim). You also have the right to object where we are processing your personal information for direct marketing purposes.
 - 17.1.6 **Request the restriction of processing** of your personal information. This enables you to ask us to suspend the processing of personal information about

you, for example if you want us to establish its accuracy or the reason for processing it.

17.1.7 **Request the transfer** of your personal information to another party where you provided it to us and we are using it based on your consent, or to carry out a contract with you, and we process it using automated means.

17.1.8 **Withdraw consent.** In the limited circumstances where we are relying on your consent (as opposed to the other bases set out above) to the collection, processing and transfer of your personal information for a specific purpose, you have the right to withdraw your consent for that specific processing at any time. Once we have received notification that you have withdrawn your consent, we will no longer process your information for the purpose or purposes you originally agreed to, unless we have another compelling legitimate interest in doing so.

17.1.9 **Lodge a complaint.** If you think that we are using your information in a way which breaches data protection law, you have the right to lodge a complaint with your national data protection supervisory authority (if you are in the UK, this will be the ICO).

If you want to review, verify, correct or request erasure of your personal information, object to the processing of your personal information, withdraw your consent to the processing of your personal information or request that we transfer a copy of your personal information to another party, please contact us at info@theconduitconnect.com

17.2 **No fee usually required.** You will not have to pay a fee to access your personal information (or to exercise any of the other rights). However, we may charge a reasonable fee if your request for access is clearly unfounded or excessive. Alternatively, we may refuse to comply with the request in such circumstances.

17.3 **What we may need from you.** We may need to request specific information from you to help us understand the nature of your request, to confirm your identity and ensure your right to access the information (or to exercise any of your other rights). This is another appropriate security measure to ensure that personal information is not disclosed to any person who has no right to receive it.

17.4 **Timescale.** Please consider your request responsibly before submitting it. We will respond to your request as soon as we can. Generally, this will be within one month from when we receive your request but, if the request is going to take longer to deal with, we will let you know.

18 **CHANGES TO THIS PRIVACY NOTICE**

Any changes we make to our privacy notice in the future will be posted on this page and, where appropriate, notified to you by e-mail or otherwise. Please check back frequently to see any updates or changes to our privacy notice.

The Conduit Connect Limited
PRIVACY NOTICE

1 ABOUT COOKIES

- 1.1 Cookies are small files placed on your device when you visit our Platform. We use cookies to recognise you and your preferences, improve the performance of our Platform and to create statistical data.
- 1.2 We use cookies on our Platform to:
- 1.2.1 recognise you whenever you visit our Platform;
 - 1.2.2 carry out research and statistical analysis to help improve our content, products and services and to help us better understand our customer requirements and interests; and
 - 1.2.3 make your online experience more efficient and enjoyable.
- 1.3 We alert you to our use of cookies. If you use our Platform after this alert, we will assume that you consent to our use of cookies for the purposes described in this Privacy Notice.

2 DESCRIPTION OF COOKIES

The table below provides some information on the cookies which we use on our Platform or our Websites:

Cookie Name	What it does	Why is it used	How long it lasts
_pk_id	Holds the monitoring tool identification string	To register the use of the application with our monitoring tool	For the length of time that the user is using the platform.
_pk_ses	Holds the registered user session	To differentiate user sessions with the monitoring tool	For the length of time that the user is using the platform.
session	Holds the session key for the current user	User identification	For the length of time that the user is using the platform.

3 TURNING OFF COOKIES

If you do not want to accept cookies, please be aware that you may lose some of the functionality of our Platform. If you wish to continue to use the Platform however, do not accept cookies please contact us at info@theconduitconnect.com